

Thomas L Bode, CPA/PFS, CFP®, 2014 Five Star Wealth Managersm

Confidential Questionnaire

Date Completed ____/____/____

Client Information

Client Name (1) _____	Client Name (2) _____
Home Address _____	Home Address _____
City, State, ZIP _____	City, State, ZIP _____
Home Phone (____) _____	Home Phone (____) _____
Mobile Phone (____) _____	Mobile Phone (____) _____
Email _____	Email _____
Date of Birth _____	Date of Birth _____

*Please Indicate how you prefer us to contact you.

Employment

Client Employer (1) _____	Client Employer (2) _____
Occupation _____	Occupation _____
Approximate Annual Income \$ _____	Approximate Annual Income \$ _____

Family Members (Please list children and other dependents)

Name	Relationship	Date of Birth	Dependent
_____	_____	____/____/____	Y N
_____	_____	____/____/____	Y N
_____	_____	____/____/____	Y N
_____	_____	____/____/____	Y N

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Please Add Any Additional Comments or
Questions You May Have

Have you created and begun funding your retirement strategy?
(retirement age, income, healthcare, ect.)

Have you estimated the amount of Social Security income
included in your retirement plan?

Is taking action on your retirement plans imminent? (Next 1-5
years)

Do you have any significant debts? (Mortgages, Credit Card
Bills, other.)

Have you put in place a plan to optimize the **After-Tax** value
of your savings? To maintain your lifestyle from your
retirement income stream?

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Retirement Plans and Annuities

(Examples include 401(k)s, 403(b)s, IRAs, Cash Balance Plans, and Variable / Fixed Annuities)

Account Name	Type	Owner	Current Estimated Market Value
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
Total Retirement Plans			\$ _____

Bank Accounts and Investment Accounts

(Examples include checking, savings, CDs, money market accounts, stocks, bonds, mutual funds, real estate)

Account Name	Type	Owner	Current Estimated Market Value
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
Total Investments			\$ _____

Education Funding

(Examples include 529s, ESAs / Coverdells, other)

Account Name	Type	Owner	Current Estimated Market Value
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
Total Education Funding			\$ _____