BODE
FINANCIAL GROUP LTD

FIVE STAR PROFESSIONAL 2014 Wealth Manager

4061 North Main Street, Suite 250
Racine, Wisconsin 53402
p. (262) 898-7300
f. (262) 898-7301
www.BodeFinancialGroup.com

Thomas L Bode, CPA/PFS, CFP®, 2014 Five Star Wealth Manager℠

Confidential Questionnaire

Date Completed ____/____/_____

Client Information

Client Name (1) ______________________	Client Name (2) ______________________
Home Address ______________________	Home Address ______________________
City, State, ZIP ______________________	City, State, ZIP ______________________
Home Phone (____)__________________	Home Phone (____)__________________
Mobile Phone (____)_________________	Mobile Phone (____)_________________
Email ______________________	Email ______________________
Date of Birth ______________________	Date of Birth ______________________

*Please Indicate how you prefer us to contact you.

Employment

Client Employer (1) __________________	Client Employer (2) __________________
Occupation ______________________	Occupation ______________________
Approximate Annual Income $____________	Approximate Annual Income $____________

Family Members (Please list children and other dependents)

Name	Relationship	Date of Birth	Dependent
______________________	__________	___/___/____	Y N
______________________	__________	___/___/____	Y N
______________________	__________	___/___/____	Y N
______________________	__________	___/___/____	Y N

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Please Add Any Additional Comments or Questions You May Have

Have you created and begun funding your retirement strategy? (retirement age, income, healthcare, ect.)

Have you estimated the amount of Social Security income included in your retirement plan?

Is taking action on your retirement plans imminent? (Next 1-5 years)

Do you have any significant debts? (Mortgages, Credit Card Bills, other.)

Have you put in place a plan to optimize the **After-Tax** value of your savings? To maintain your lifestyle from your retirement income stream?

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Retirement Plans and Annuities
(Examples include 401(k)s, 403(b)s, IRAs, Cash Balance Plans, and Variable / Fixed Annuities)

Account Name	Type	Owner	Current Estimated Market Value
________	________	________	$________
________	________	________	$________
________	________	________	$________
________	________	________	$________
Total Retirement Plans			$________

Bank Accounts and Investment Accounts

(Examples include checking, savings, CDs, money market accounts, stocks, bonds, mutual funds, real estate)

Account Name	Type	Owner	Current Estimated Market Value
________	________	________	$________
________	________	________	$________
________	________	________	$________
________	________	________	$________
Total Investments			$________

Education Funding

(Examples include 529s, ESAs / Coverdells, other)

Account Name	Type	Owner	Current Estimated Market Value
________	________	________	$________
________	________	________	$________
________	________	________	$________
________	________	________	$________
Total Education Funding			$________